



**Australian Government**

Department of Defence

Intelligence and Security - Australasian Information Security Evaluation Program

AI004

# Australasian Certification Authority

## AISEP Interpretation

<b>AI No.</b>	AI004
<b>AI Version</b>	1.0
<b>Status</b>	FINAL
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<b>Issue Date</b>	06 FEB 2007
<b>Summary</b>	This AISEP Interpretation clarifies the meaning of <i>operations within Australia and/or New Zealand</i> with regard to AISEF licensing policy.
<b>Reference</b>	AISEP Publication No. 1 – Program Policy V3.1.

### Evaluation Requirement

AISEP Publication No. 1 – Program Policy stipulates that to be eligible to become an AISEF an applicant must, amongst other things, operate the evaluation facility within Australia and/or New Zealand.

The relevant paragraph within the AISEF licensing requirement section of AP1 is listed below:

*65. The ACA does not restrict the number of AISEFs that can operate as licensed facilities under the AISEP; however, to be eligible to become an AISEF, an applicant MUST:*

- a. be an Australian or New Zealand legal commercial entity*
- b. operate the evaluation facility within Australia and/or New Zealand.*

### Background

The ACA has recently fielded several queries suggesting creative ways that organisations might attempt to meet the above licensing requirements whilst utilising operational

resources in another country. These queries have likely been prompted as a result of changes in evaluation acceptance requirements within the USA CC Scheme (NIAP CCEVS) that were effected in October 2006.

The purpose of AI004 is to clarify the meaning of the requirement to operate the facility within Australia and/or New Zealand. AISEFs should note that the purpose of AI004 is quite narrow and does not diminish any AISEF obligations. AI004 should therefore be read in conjunction with other AISEP Publications and the AISEF licence agreement.

### **Evaluation Guidance**

The ACA deems it necessary for all aspects of AISEF operations to be performed within Australia and/or New Zealand to ensure both due diligence and effective oversight are achieved in an economically responsible manner.

To fulfil the requirement outlined in AP1 paragraph 65b), all functions associated with the operation of the AISEF must be performed within Australia and/or New Zealand. This includes the mandatory requirement that all resources that perform an ACA endorsed AISEF related role, as defined in AP1, must:

- a) be an employee or contracted resource of the Australian or New Zealand legal commercial entity that is licensed to operate as an AISEF
- b) perform the tasks associated with their AISEF role whilst genuinely based at premises located within Australia and/or New Zealand.

As AI004 simply clarifies the meaning of the requirement to operate the facility within Australia and/or New Zealand there are no affected paragraphs. The relevant paragraph as outlined in the Evaluation Requirement section above, will retain its current wording in future versions of AP1.